Frequently asked questions about the UnitedHealth Premium® Designation Program

1) What is the purpose of the UnitedHealth Premium designation program?
To recognize that not all health care is created equal, UnitedHealthcare created the UnitedHealth Premium program. The program evaluates physicians using evidence-based, medical society, and national industry standards with transparent methodology and robust data sources to evaluate physicians across 25 specialties, to advance safe, timely, effective, efficient, equitable and patient-centered care.

The quality criteria come from evidence-based medicine standards and national guidelines published by clinical societies, and input from leading physicians practicing in specialty areas. The cost efficiency evaluation uses population cost and/or episode cost measurement as appropriate, depending on the specialty being assessed. Population cost measurement is used for primary care physicians and for select specialty physicians. The cost efficiency standards rely on local market benchmarks for cost-efficient care.

For more information on the UnitedHealth Premium program, go to UnitedHealthPremium.com.

2) Why is this information important?
According to a study published in The New England Journal of Medicine, “adults receive the recommended medical treatment only 55 percent of the time.” Poor quality care can lead to higher complication and surgical repeat rates, unnecessary hospitalizations and a higher chance of a wrong diagnosis.

To help you make more informed choices about your health care, UnitedHealthcare provides you with information about which physicians meet quality and cost efficiency guidelines.


3) What are the possible UnitedHealth Premium designations?
Doctors who have met the criteria for quality and/or cost efficiency could have one of these four UnitedHealth Premium designations.

<table>
<thead>
<tr>
<th>UnitedHealth Premium® Designation</th>
<th>Meets quality criteria</th>
<th>Meets cost efficiency criteria</th>
<th>UnitedHealth Premium Tier 1</th>
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<tbody>
<tr>
<td>Quality &amp; Cost Efficiency</td>
<td>✓</td>
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<tr>
<td>Cost Efficiency &amp; Not Enough Data to Assess Quality</td>
<td>Not enough data to measure.</td>
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<tr>
<td>Quality &amp; Not Enough Data to Assess Cost Efficiency</td>
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<td>Not enough data to measure.</td>
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<tr>
<td>Quality &amp; Did Not Meet Cost Efficiency</td>
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These designations are shown on myuhc.com and in provider directories. Other possible
designations include:

- Not Enough Data to Assess Quality & Did Not Meet Cost Efficiency
- Not Enough Data to Assess
- Not Evaluated
- Did Not Meet Quality & Cost Efficiency

The fact that a doctor does not have a quality designation does not mean that the doctor does not provide quality health services. All doctors who are part of the UnitedHealthcare network must meet our standard credentialing requirements (separate from the Premium program).

The assessment result “Not Enough Data to Assess” is not an indicator of the total number of patients treated by the doctor or the number of procedures performed by the doctor. Rather, it reflects the statistical requirements of the program.

4) **What is a UnitedHealth Premium Tier 1 physician?**

New in 2014, we are introducing the UnitedHealth Premium Tier 1 symbol to identify doctors who have been recognized for providing value.

UnitedHealth Premium Tier 1 physicians have received the Premium designation for:

- Quality & Cost Efficiency OR
- Cost Efficiency & Not Enough Data to Assess Quality

Members in health plans that offer tiered benefits may pay lower co-payments and co-insurance amounts for services provided by UnitedHealth Premium Tier 1 physicians.

5) **How do I find out if my physician received a UnitedHealth Premium designation?**

You can find a physician's designation information on myuhc.com using the Physician and Facility Search. You may search for a physician by name, location and/or specialty, or based on a particular condition/procedure.

The designation status results for all states, with the exception of those noted below, were posted in January 2014. The designation status for Medica network physicians in Minnesota, North Dakota, South Dakota and portions of Western Wisconsin was posted in April 2014. The designation status for network physicians in Connecticut, Delaware, Pennsylvania, New Jersey, New York and Rhode Island was posted in April 2014. The designation status for network physicians in California, Philadelphia, and Jefferson City, Missouri was posted in August 2014.

6) **What kind of recognition can a physician receive under the UnitedHealth Premium designation program?**

There are several possible displays for a physician’s designation. Designations are displayed in the physician search results on myuhc.com.

**Quality & Cost Efficiency**

The care provider has met guidelines for providing quality and cost-efficient care. For quality, care providers must meet national industry standards of care. For cost efficiency, care providers must meet local market benchmarks for the cost-efficient use of resources in delivering care.

**Cost Efficiency & Not Enough Data to Assess Quality**

The care provider has met guidelines for providing cost-efficient care. For cost efficiency, care providers must meet local market benchmarks for the cost-efficient use of resources.
in delivering care. The care provider did not have enough health plan claims data to be evaluated for quality. For quality, a minimum number of patients and a minimum number of medical or surgical cases are required to determine if the care provider has met the quality criteria.

**Quality & Not Enough Data to Assess Cost Efficiency**
The care provider has met guidelines for providing quality care. For quality, care providers must meet national industry standards of care. The care provider did not have enough health plan claims data to be evaluated for cost efficiency. For cost efficiency, a minimum number medical or surgical cases are required to determine if the care provider has met the cost efficiency criteria.

**Quality & Did Not Meet Cost Efficiency**
The care provider has met guidelines for providing quality care. For quality, care providers must meet national industry standards of care. The care provider has not met guidelines for cost-efficient care. For cost efficiency, care providers must meet local market benchmarks for the cost-efficient use of resources in delivering care.

**Not Enough Data to Assess Quality & Did Not Meet Cost Efficiency**
The care provider did not have enough health plan claims data to be evaluated for quality. For quality, a minimum number of patients and a minimum number of medical or surgical cases are required to determine if the care provider has met the quality criteria. The care provider has not met guidelines for cost-efficient care. For cost efficiency, care providers must meet local market benchmarks for the cost-efficient use of resources in delivering care.

**Not Enough Data to Assess**
The care provider did not have enough health plan claims data to be evaluated for the UnitedHealth Premium designation. For quality and cost efficiency, a minimum number of patients and a minimum number of medical or surgical cases are required to determine if the care provider has met the quality and cost efficiency criteria.

**Not Evaluated**
The UnitedHealth Premium program does not currently evaluate care providers in this specialty, or the care provider's evaluation is in process.

**Did Not Meet Quality & Cost Efficiency**
The care provider has not met guidelines for providing quality care. For quality, care providers must meet national industry standards of care. If care providers do not meet the quality criteria, they are not eligible for the cost-efficiency designation.

7) **Why is there a specialty listed next to the physician’s UnitedHealth Premium designation in the physician search results on myuhc.com?**
Some physicians practice more than one specialty. The UnitedHealth Premium Physician Designation shows the specialty that was used for the Premium evaluation process. The “Specialty” may provide a more complete listing of the physician’s specialty or specialties.

8) **What is the criteria for the UnitedHealth Premium program and where did it come from?**
The UnitedHealth Premium designation program uses criteria and measures based on evidence-based, medical society, and national industry performance measurements from organizations such as the National Quality Forum (NQF®), the Ambulatory Quality Alliance (AQA®), the National Committee for Quality Assurance (NCQA), and the American College of Cardiology®. Practically speaking, evidence-based guidelines are those standards, based on science, which define how a patient should be treated to receive optimal care for his or her
A physician's quality designation is determined by comparing the number of times his/her patients received recommended care with a benchmark number, based on the UnitedHealthcare national rate of the same recommended care for each quality measure.

Doctors specializing in the treatment of more complex diseases that involve surgical procedures (i.e., implantation of a stent for coronary artery disease, a pacemaker placement, or removal of a vertebra from the lower back) are measured for such services as the use and timing of diagnostic testing, follow-up care, complications and repeat surgeries for the same medical condition. This information is then compared to other doctors in the same specialties who perform these procedures.

Sufficient data for the quality assessment is defined as a minimum of 5 unique patients and 20 quality measure opportunities across all conditions or procedures. "Opportunities" are the number of times a measurement criterion could have been met. In order to meet the quality criteria, physicians must perform at a level that meets or exceeds the equivalent of the 50th percentile performance for all physicians measured. Quality measures for inpatient procedures are risk adjusted by 3M™ APR DRG severity of illness level.

There are two measurements used for the assessment of cost efficiency: population cost measurement and episode cost measurement. The physician’s specialty determines whether population cost measurement is used. Population cost measurement requires a minimum of 10 patients. Population cost measurement evaluates the total cost of care for the physician’s patients and applies appropriate risk adjustment methodology. Episode cost measurement requires a minimum of 10 medical and/or surgical cases. Both medical and surgical cases can be used to calculate the 10 total cases. Episodes include all services delivered to a patient (including those of other physicians or clinicians) related to a specific procedure or treatment of a condition. Physicians’ costs must be statistically lower than the highest cost quartile (measured in the same specialty in the same geographic area) to meet the cost efficiency criteria.

What is the evaluation process for determining designations?

Designation is a two-stage process. First, a physician is evaluated for quality. Those that meet the quality guidelines, and those for whom we do not have sufficient claims data to assess quality, are then evaluated for cost efficiency.

**Quality designation:** The evaluation of quality is based on an analysis of 38 months of collected paid claims for UnitedHealthcare members compared to national quality standards. The Premium program also counts several non-claims based programs towards quality designation for the specialties appropriate to each program. These include National Committee for Quality Assurance (NCQA) recognition programs, Bridges to Excellence (BTE) programs, and American Board of Internal Medicine (ABIM) Practice Improvement Modules®.

**Cost efficiency designation:** Only physicians who meet the UnitedHealth Premium quality criteria and those for whom we do not have sufficient claims data to assess quality are eligible for the cost efficiency assessment. Cost efficiency is based on factors such as the use and price of diagnostic testing, prescribed medications, procedures and follow-up care in comparison to other physicians in the same specialty in the same geographic area. In order to make an "apples-to-apples" comparison, the cost efficiency assessment accounts for the case mix of the patient that the doctor treats.

Who is eligible for evaluation in the program?

Only individual physicians that meet UnitedHealth Premium designation quality criteria for
that specialty, physicians for whom we do not have sufficient claims data to assess quality, and physicians that are part of medical groups that meet UnitedHealth Premium criteria for group practices in that specialty may receive the Quality designation. Physicians in a particular group may be evaluated on an aggregate, not an individual, basis. Therefore, a physician in an eligible group practice who does not have enough data on his or her own may qualify for designation if his or her specialty in that group met the Quality and/or Cost Efficiency designation criteria.

The UnitedHealth Premium program includes specialties that have national quality standards that can be evaluated using paid claims data. Click here to see a complete list of all specialties and conditions.

11) Why does the Premium Designation program designate individual physicians and not designate the group?
The Premium designation program bases its methodology on clinical information from individual physicians’ health care claims. The program was developed to assist individual physicians in their continuous practice improvement. This design also helps consumers make more informed and personally appropriate physician choices for their medical care.

12) Can a physician appeal his or her designation?
Yes, physicians have the opportunity to review and request a reconsideration of the data that was used for the Premium designation assessment. All reconsideration requests are processed as described in the program’s detailed description. A reconsideration request can correct facts that are used in the methodology, but the methodology itself is fixed under this NCQA accredited program. Physicians can submit a Premium reconsideration request online via the Premium website located on UnitedHealthcareOnline.com.

13) Are physicians in all areas of the U.S. evaluated for the program?
The UnitedHealth Premium program is available in 159 markets in 42 states. If you would like a complete listing of the available markets, please email PremiumProgram@uhc.com. Click here to see Premium Program availability.

14) Who do I contact if I have a question, feedback, or a complaint about the program?
If you have questions or a complaint about the UnitedHealth Premium designation program, you may call Customer Care at the number found on the back of your ID card from 8:00 a.m. - 8:00 p.m. ET, Monday-Friday.

The National Committee for Quality Assurance (NCQA) is an independent not-for-profit organization that uses standards, clinical performance measures and member experience to evaluate the quality of health plans. NCQA serves as an independent ratings examiner for UnitedHealthcare, reviewing how the UnitedHealth Premium designation program meets criteria required by the State of New York. The NCQA Ratings Examiner Report provides information on how health plans in New York evaluate physicians in their networks for quality and cost efficiency. You can view the report by visiting http://nyrxreport.ncqa.org/Overview.aspx.

If you have a complaint about the UnitedHealth Premium designation program, in addition to registering that complaint with UnitedHealthcare, you may also register your complaint with the NCQA by writing to customersupport@ncqa.org or to NCQA Customer Support, 1100 13th Street NW, Suite 1000, Washington, DC 20005.

As part of the development of the UnitedHealth Premium program, we solicit input and feedback from consumers, employers and physicians. We have established national and
local physician advisory committees, and we gain additional input from surveys and meetings with physicians, consumers and employers. Such input and feedback is incorporated into the program on an ongoing basis. If you would like to provide feedback on the program, please email PremiumProgram@uhc.com.

Additional Information on the Premium Designation Program
If you have questions about program methodology (including a complete list of measures used to evaluate physicians, measure specifications, how patients are attributed to physicians, how the program considers measurement error and measure reliability, and how outlier cases are handled) or would like a copy of the detailed program methodology, please email PremiumProgram@uhc.com.

If you have questions about program logistics (including the number or percentage of physicians that have results in your area, and the percentage of payments made to physicians based on performance) or you would like to provide feedback on the program, please send an email to PremiumProgram@uhc.com.

Important Notes about the Program

_Premium designations are a guide_

The UnitedHealth Premium® designation program is a resource for informational purposes only. Designations are displayed in UnitedHealthcare online physician directories at myuhc.com®. You should always visit myuhc.com for the most current information. _Premium designations are a guide to choosing a physician and may be used as one of many factors you consider when choosing a physician._ If you already have a physician, you may also wish to confer with him or her for advice on selecting other physicians. _Physician evaluations have a risk of error and should not be the sole basis for selecting a physician._ Please visit myuhc.com for detailed program information and methodologies.

Only individual physicians that meet UnitedHealth Premium designation criteria, or physicians in designated specialties who are part of medical groups that meet UnitedHealth Premium criteria for group practices and who have sufficient claims data for analysis, may be designated. All physicians that contract with UnitedHealthcare have met credentialing requirements. Regardless of designation, plan enrollees have access to physicians in the UnitedHealthcare network as described in their benefit plan. Specialties for which there are no quality guidelines currently established in the program are excluded from evaluation and are noted as such.

The assessment result “Not Enough Data to Assess” is not an indicator of the total number of patients treated by the physician or the number of procedures performed by the physician. Rather, it reflects the statistical requirements of the Premium designation program, which includes only health plan claims associated with specific program measures and relevant to the physician’s designated specialty. In some cases, there may not be enough data to complete the analytic process from a statistical standpoint.

[Click here](#) to see a complete list of the specialties and medical conditions measured.

All UnitedHealthcare contracted doctors, regardless of designation status, remain part of the UnitedHealthcare network. The UnitedHealth Premium designation program is not a separate network or a network within a network.